

We'll need:

Lemma For every $m, n, p \in \mathbb{N}$ we have:

- ① $Q_0 \vdash \forall x (x < n+1 \Rightarrow (x = 0 \vee x = 1 \vee \dots \vee x = n))$
- ② if ~~if~~ $m+n=p$ then $Q_0 \vdash \underline{m+n} = \underline{p}$
- ③ if ~~if~~ $m \cdot n = p$ then $Q_0 \vdash \underline{m \cdot n} = \underline{p}$
- ④ if $m \neq n$ then ~~if~~ $Q_0 \vdash \neg(\underline{m} = \underline{n})$

- we'll provide informal proofs from the axioms Q_0 and assume our deduction system is strong enough to turn these into formal pfs (it is)
- think like this: we can reason "semantically" as usual as though we're in some number system satisfying Q_0 (might not be the usual one $\mathbb{N}$, but as long as we can tie any intuition about $\mathbb{N}$ back to the axioms in Q_0 , our proofs will be proofs from Q_0).

Pf of Lemma: ① induction on n

for $n=0$: follows from Ax's ⑤+⑥
(substing 0 for y in ⑤)

for $n+1$: by Ax ⑤: $Q_0 \vdash \forall x (x < \underline{n+2} \Rightarrow x < \underline{n+1} \vee x = \underline{n+1})$ which by induction gives $Q_0 \vdash \forall x (x < \underline{n+2} \Rightarrow x = 0 \vee \dots \vee x = \underline{n} \vee x = \underline{n+1})$ ✓

- ② induction on n using $Ax. ⑦$
- ③ induction on n using $Ax. ⑧$
- ④ induction on m

If $m=0$, then $n \neq 0 \Rightarrow n = S(k)$
 for some k by $Ax. ②$. So $\mathcal{Q}_0 \vdash m \neq n$
 by $Ax. ①$

i.e. $S(m)$

For $m+1$, if $n=0$ then done by
 same arg. If $n \neq 0$ then $n = S(k) = k+1$
 for some k ($Ax. ②$), so $m+1 \neq k+1$
 (i.e. $S(m) \neq S(k)$) so $m \neq k$ by $Ax. ③$. Then
 by induction $\mathcal{Q}_0 \vdash m \neq k$
 so $\mathcal{Q}_0 \vdash m+1 \neq k+1$ by $Ax. ③$ ✓

PF of theorem: We show every min-
 recursive f is Σ_1 r.e. r.e.
 By HW3, Σ_1 min-r.e. $\Leftrightarrow$ r.e., so this suffices

Recall: $f: N^k \rightarrow N$ is min-r.e. if
 built up from the basic functions:

$$x \mapsto 0$$

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$$(x_1, \dots, x_k) \mapsto x_i \quad (\forall i \leq k)$$

$$X = \text{char. function } f \text{ for } =$$

using composition and minimization:

Also recall: to check " $f(x)=y$ " is rep'able by a formula φ , wts $\varphi_0 \vdash \forall x, y, z (\varphi(x, y) \wedge \varphi(x, z) \Rightarrow y = z)$ and $\varphi_0 \vdash \varphi(\underline{n}, \underline{m})$ whenever $f(\underline{n}) = \underline{m}$

① Basic functions are φ_0 -rep'able:

(i) $x \mapsto 0$ rep'd by $\varphi(x, y) := y = 0$
why: $\varphi_0 \vdash \forall x, y, z (y = 0 \wedge z = 0 \Rightarrow y = z)$
 Follows from axioms for $=$ in our deduction system (don't need any φ_0 axioms)

Also, $\varphi_0 \vdash \varphi(\underline{x}, \underline{0})$ for all $x \in \mathbb{N}$
 since $\varphi(\underline{x}, \underline{0})$ is just $0 = 0$ (since $\underline{0}$ is 0)
 which again follows from $=$ axioms

(ii) $+$ is rep'able by $\varphi(x_1, x_2, y) := x_1 + x_2 = y$
why: Unique output property can be proved using transitivity axioms for $=$.
 And if $n + m = k$ then $\varphi_0 \vdash \underline{n} + \underline{m} = \underline{k}$
 by lemma.

(iii) $\cdot$ is rep'able by $\varphi(x_1, x_2, y) := x_1 \cdot x_2 = y$
 pf similar to (ii)

(iv.) $(x_1, \dots, x_k) \mapsto x_i$ rep'able by
 $\varphi(x_1, \dots, x_k, y) := y = x_i$. Axioms for $=$ shows φ works.

(v.) X_1 rep'able by $\varphi(x_1, x_2, y) := (x_1 = x_2 \wedge y = \underline{1}) \vee (x_1 \neq x_2 \wedge y = \underline{0})$

why: unique output prop follows from $=$ axioms. ~~And so on for other functions~~

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And if $\chi(\underline{x}_1, \underline{x}_2) = e$ then $\varphi_0 \vdash \psi(\underline{x}_1, \underline{x}_2, e)$ by part (4) of Lemma (try to spell this at yourself!) ✓

② Now must show φ_0 -rep'dble functions are closed under comp'n and min'z'n.

Composition: Sps g and $h_1, \dots, h_k$ are rep'dble by χ and $\psi_1, \dots, \psi_k$ and $f(x_1, \dots, x_n) = g(h_1(\vec{x}), \dots, h_k(\vec{x}))$

Then f is rep'dble by

$$\tau(\vec{x}, y) := \exists z_1, \dots, z_k (\psi_1(\vec{x}, z_1) \wedge \dots \wedge \psi_k(\vec{x}, z_k) \wedge \chi(z_1, \dots, z_k, y))$$

Why: uniq output prop follows from uniq. out. prop of the ψ_i and χ .

and if $f(a_1, \dots, a_n) = m$ we know $\varphi_0 \vdash \psi_i(\underline{a}, \underline{m}_i) = \underline{m}_i$ and $\varphi_0 \vdash \chi(\underline{m}_1, \dots, \underline{m}_k, \underline{m})$ for some m_i 's, so there m_i 's witness the $\exists z_i$'s and $\varphi_0 \vdash \tau(\underline{a}_1, \dots, \underline{a}_n, \underline{m})$ ✓

Minimalization: Sps $g: N^{k+1} \rightarrow N$ is rep'dble by χ and $f(x_1, \dots, x_n) = \mu y [g(x_1, \dots, x_n, y) = 0]$

Then f is rep'dble by:

$$\psi(\vec{x}, y) := \chi(\vec{x}, y, 0) \wedge \underbrace{\forall w (w < y \Rightarrow \exists z (z \neq 0 \wedge \chi(\vec{x}, w, z)))}_{\text{"}w < y \text{"}}$$

Why: if $\psi(\vec{x}, y)$ and $\psi(\vec{x}, z)$ then $y \geq z$ and $z \geq y$ so we have (and $\varphi_0 \vdash$) $y = z$

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And if $f(a_1, \dots, a_n) = m$ then $g(a_1, \dots, a_n, m) = 0$
 and $g(\bar{a}, i) = l_i > 0 \quad \forall i < m$

WTS: $Q_0 \vdash \forall (a_1, \dots, a_n, m)$

i.e. $Q_0 \vdash \forall (a_1, \dots, a_n, m, 0)$, which is true
 since $\forall$ reps g , and

$Q_0 \vdash \forall w (w < m \Rightarrow \exists z (z \neq 0 \wedge \forall (\bar{a}, w, z)))$

We knew $Q_0 \vdash l_i \neq 0$ by ④ of Lemma
 and $Q_0 \vdash \forall w (w < m \Rightarrow w = 0 \vee w = 1 \vee \dots \vee w = m-1)$

by ① of Lemma

and $Q_0 \vdash \forall (\bar{a}, i, l_i)$ for each $i < m$

again since $\forall$ reps g

so indeed $Q_0 \vdash \forall w (w < m \Rightarrow \exists z (z \neq 0 \wedge \forall (\bar{a}, w, z)))$ ✓

Def'n A set of sentences Q is
undecidable if $\langle \text{Conseq}(Q) \rangle$ is not
 recursive.

Theorem (Gödel's 1st Incompleteness Theorem -
 abstract existence form)

Sps Q is a recursive set of
 axioms in the ~~language~~ language of
 arithmetic and $Q_0 \subseteq Q$. If Q is
 consistent, then Q is incomplete
 and undecidable.

Pf. Since Q_0 reps every recursive F/R
 so does Q . By theorem from last

lecture, this gives $\langle \text{Conseq}(Q) \rangle$ is not Q -replable, hence not recursive (i.e. $\text{Conseq}(Q)$ is not recursive).

We showed $\text{Conseq}(Q)$ is always r.e. when Q recursive, and if Q is complete then $\text{Conseq}(Q)$ is actually recursive. Hence $\text{Conseq}(Q)$ is incomplete (so Q is too).

→ this pf nicely uses our complexity theory; later we'll give another pf in which we produce an explicit σ s.t. $Q \neq \sigma$ and $Q \neq \neg \sigma$.

Briefly: Interpretations

- Gödel's thm is more powerful than it appears at first.
- There are many natural examples of theories T in a language L (possibly quite different from L_{ar}) that "interpret" Q .
- This means roughly that for every formula $\varphi \in Q$, we can find an "L-version" φ^* s.t. $T \models \varphi^*$ (and hence $T \vdash \varphi^*$).
- if we let $Q_0^* = \{\varphi^* : \varphi \in Q\}$ we get $T \vdash Q_0^*$