

then:

on input $b(M) * w$ (+ blank)
 U returns w' acc.

→ we call U a universal Turing machine.

The Halting Problem

- we can use the universal TM U to show that a certain decision problem is undecidable.
- the construction may look like a bit of a magick trick, but is an instance of what's called a diagonalization argument.
 → such arguments are ubiquitous in computability theory and very powerful.
- In what follows (as above) we'll believe that Turing machines are powerful enough to carry out algorithms we can write down informally (or know to exist already)

Def'n The Halting Problem is the decision problem (A, P) where the alphabet is $A = \{0, 1\}$ and $P = \{b(M) : M \text{ is a TM on } \{0, 1\} \text{ that terminates on the empty input}\}$

↳ i.e. the problem is to determine, given a code $b(M)$ for a TM M , whether M halts on $\emptyset$.

Theorem (Turing) The halting problem is undecidable.

PF - Let U denote the universal TM
- for each binary string $x \in \{0, 1\}^*$
Let U_x denote the TM that, on the empty input $\emptyset$:

- ① prints $x*x$ on its tape
- ② moves the head over the first symbol (in the first x)
- ③ runs U on this input (i.e. on $x*x$)

↳ can be done mechanically
- it is "not hard" to program U_x from the table for U (for a fixed x)
- in particular we can write a subroutine for a Turing machine that, on input x , outputs the ~~table~~ for U_x ... in the form of $b(U_x)$

- Notation: For a TM M , we'll write:

$$M(w) \downarrow \quad \text{if } M \text{ halts on input } w$$

$$M(w) \uparrow \quad \text{if } M \text{ does not halt on input } w$$

- Now: sps toward a contradiction
the halting problem were decidable

- then in particular we could, for a given x , decide (algorithmically) whether U_x halts on $\emptyset$

- so we could write a TM M_0 that, on input x ,

~~decides~~

- decides whether U_x halts on $\emptyset$ (using our algorithm)

- terminates w/ output 1 if U_x does not terminate on $\emptyset$

- does not terminate (by e.g. going into some back-and-forth loop) if U_x does terminate on $\emptyset$

$\uparrow$ we're "diagonalizing"

- i.e. we have

$$M_0(x) \downarrow \quad \text{iff} \quad U_x(\phi) \uparrow \\ \text{iff} \quad U(x * x) \uparrow$$

- so, for any TM M on $\{0,1\}$ we have

$$M_0(b(M)) \downarrow \quad \text{iff} \quad U(b(M) * b(M)) \uparrow \\ \text{iff} \quad M(b(M)) \uparrow$$

- in particular for $M = M_0$ we get

$$M_0(b(M_0)) \downarrow \quad \text{iff} \quad M(b(M_0)) \uparrow$$

a contradiction ✓

Roughly: here's a summary of the proof:

if we could decide whether a given M halts on the empty input ^{by a TM!} then using U and some coding we could decide whether a given M halts on $b(M)$ (i.e. whether it halts when we input its own code)

then we could write an M_0 that halts on an input $b(M)$ iff M does not halt on $b(M)$

... so we get a "self-referential" or "diagonal" contradiction by plugging in $b(M_0)$ to M_0

" M_0 halts on $b(M_0)$ iff M_0 does not halt on $b(M_0)$ " - impossible!

Other undecidable problems

1. VALIDITY

Recall. Sp^s L is a finite - first order language

$VALIDITY_L$ is the decision problem (A, P) where

$A = L \cup \{\text{other symbols needed to encode } L\text{-fmulas}\}$

$P = \text{Set of valid } L\text{-fmulas}$

(i.e. $\phi \in P$ iff $\models \phi$)

Theorem (Church, Turing) There is a finite language L s.t. $VALIDITY_L$ is undecidable

2. Hilbert's 10th

Recall: a Diophantine Equation is an equation of the form $p(x_1, \dots, x_n) = 0$ where p is a polynomial in the variables $x_1, \dots, x_n$ w/ integer coeffs

- e.g. $x^2 + y^2 - z^2 = 0$

and $20xyz - w^2 + 2xy^2 = 0$

are Diophantine

Hilbert's 10th problem: is there an algorithm that takes as input a diophantine polynomial p and decides whether $p=0$ has integer solutions?

- we can formalize this problem as a decision problem

- Consider the alphabet

$$A = \{x, 0, 1, \cdot, +, -, \wedge, (,)\}$$

- If we encode a variable x_n by $x(n$ written in binary,

(... eg. x_2 by $x(11)$),

write each natural number n in binary, and use $\wedge$ for exponentiation, then any diophantine polynomial p can be encoded as a word in A

- e.g. $x_1^2 - 7x_2^2 - 1$ is written
 $(x(1))^{\wedge}(10) + (-111)(x(10))^{\wedge}(10) + (-1)$

- now let DIOPHANTINE denote the decision problem (A, P) where $P = \{w \in A^* : w \text{ encodes a Diophantine polynomial which has an integer sol'n}\}$

- we have the following Fields medal winning theorem

Theorem (Matiyasevich) DIOPHANTINE is undecidable

→ this gives a negative sol'n to Hilbert's 10th!

Decidable Problems

CTCH: are there (interesting?) problems that can be decided algorithmically? (i.e. by a TM / RM / computer / etc)

- we present some easy and not-so-easy examples
- will describe problems informally i.e. w/o specifying details of alphabet.

Ex's ① SATISFIABILITY is the following problem.

- given a prop'l formula ϕ decide if ϕ is satisfiable.

→ is clearly decidable

→ algorithm: if $p_1, \dots, p_n$ are the variables in ϕ go thru all 2^n truth assignments and see if any satisfy ϕ

② TRAVELING SALESMAN is the following problem:

- given a finite set of "cities" $\{c_1, \dots, c_n\}$, distances $d(c_i, c_j) \in \{1, 2, \dots\}$ for all $i \neq j$, and a bound $B \in \{1, 2, \dots\}$ decide if there is a tour of the cities of total distance $\leq B$.

... i.e. if there is an ordering $c_1, \dots, c_m$ of $c_1, \dots, c_m$ s.t. $d(c_1, c_2) + \dots + d(c_{m-1}, c_m) \leq B$

→ problem is also clearly decidable
 → algorithm: list all possible orderings, calculate the length of the tour for each, check if any $\leq B$.

- in general: problems that require checking only finitely many things (which only take finite time to check!) will be decidable.
- algorithm: check them all.
- what about problems that require possibly searching over an infinite space?

③ ELEMENTARY ALGEBRA is the problem.

given a sentence σ in the language $L = \{c, 1, +, \cdot\}$, decide whether σ is true in the structure $\langle \mathbb{R}, c, 1, +, \cdot \rangle$

Theorem (Tarski) ELEMENTARY ALGEBRA is decidable.

- a counterpoint to this result is the following

(Church)

Theorem The following problem:

"Determine whether a given sentence σ in the language $L = \{0, 1, +, -, <\}$ is true in $\langle \mathbb{N}, 0, 1, +, -, <\rangle$ " is undecidable!

Computational Complexity: the class P

- as ex's above show: there are lots of problems that are decidable, i.e. for which there are algorithms that in principle solve every instance of the problem.
- in practice we need algorithms that can solve problems quickly
- eg. if we have a computer running a program that solves our problem would like it to halt w/in ... a day? a week? our lifetime at least!

→ Leads to concept of Computational Complexity